

I. Historical and Current Issues Regarding Research Population

A. Contested Definitions

There is no clear consensus about which term is preferred for African Americans or Black Americans, and who is included in those terms. Many different words have been used to describe this group in the past. In the mid-20th Century, it was not uncommon for the terms "Colored" or "Negro" to be used: signs used during segregation would often indicate which facilities were "White" and "Colored," and Rev. Dr. Martin Luther King used "Negro" more often than "Black" in his "I Have a Dream" speech.¹ However, these terms, with their association with segregation, are no longer used.

Today, the most commonly-used terms are African American and Black. The latter has been used for a longer period. The former has risen to prominence in the last few decades, spurred on by a speech by Jesse Jackson in 1988, where he emphasized that the term links people to a shared culture and heritage.² This was formally recognized by the US government in 1997, when the Office of Management and Budget adopted a recommendation that government survey questions about race should include the option "Black or African American," an expansion of the previous option, which was simply, "Black."³ Which term people prefer is less clear. A Gallup poll in 2007 found that a majority (61%) of African American (24% of all respondents) rather than Black (13% of all respondents).⁴ Thus, there is no consensus on one term that should be used over the other.

There are also distinctions to be made about who is included in the group. The Census Bureau, in an outreach plan for the 2010 Census, described three distinct groups: African Americans, or Blacks born in the United States; Black Africans, or Black immigrants from Africa; and Afro-Caribbeans, people of African descent whose ancestors passed through the Caribbean before coming to the US. The unifying feature is that members of all groups have African descent.⁵ Some writers suggest a distinction should be made between people descended from slaves, who have a unique history of disadvantage, and all others, such as Africans who voluntarily immigrated to the US.⁶ Another consideration is the intersection of race and ethnicity. The Census Bureau asks about these identities in two distinct questions.⁷ However, many reports and studies use a selective combination of these identities (non-Hispanic Black, Hispanic of any race).⁸ This can be problematic as some people could be considered African American/Black, and Hispanic, such as many Dominicans.

This section is not intended to prescribe one term or definition to the exclusion of all others. This document will use both African American and Black. What will be key for your study is being clear about the population with which you will be working. Additionally, when working with partners, it can be helpful to ask how they would like you to refer to them and their community, and then make a conscious effort to use that term.

B. History of Mistreatment by Researchers, Medical Professionals, and Government Agencies

African Americans have faced mistreatment from researchers and medical professionals, including those employed by government agencies, for centuries. This legacy continues to cast its shadow over current interactions, through discrimination, reluctance on the part of African Americans to participate in research, and leading to or increasing health disparities.



In addition to the exploitation African Americans endured through slavery and the denial of rights to free Black people, abuse came specifically from doctors and researchers as well. In 1835, a French visitor to Baltimore observed that only Black bodies were used for dissection because the African American community did not have the power to resist. Doctors also performed gruesome experiments on living people. A physician named Thomas Hamilton performed a series of experiments on a slave named Fed, forcing him to stand in a scorching pit until he collapsed due to the heat. Hamilton often injected Fed with medications to see if they would make him endure more heat, so that slaves could work longer under hot conditions. In another series of experiments, J Marion Sims performed dozens of surgeries on three slave women's genitalia, without anesthesia, which resulted in severe maiming for at least one of the women, Lucy. Sims later performed the surgery to repair vesicovaginal fistulas on White women, but with anesthesia and only after having practiced the procedure on Black women.⁹

These abuses continued after the end of slavery. Widespread grave-robbing of Black bodies was exposed in 1882 in Philadelphia, and historians have noted grave robbing happened in the South to provide bodies for Northern medical schools. Dr. Daniel Hale Williams discussed how White physicians, especially in the South, used Black patients as guinea pigs. Dr. Nathan Francis Mossell described African American patients receiving the poorest care, being placed in inferior wards, and having to undergo experimental treatments.¹⁰

The US Public Health Service Study of Syphilis in the Negro Male (PHS syphilis study) has come to represent the pattern of abuse that African Americans have suffered at the hands of medical personnel and researchers. Between 1932 and 1972, the US Public Health Service enrolled and monitored 399 African American men who had syphilis and 201 men who did not in Tuskegee, Alabama. The aim was to understand the progression of the disease, even though it was already well-understood. It is important to note that while no one was purposely infected with syphilis, those who were diagnosed with the disease were never informed of their diagnosis nor were they treated, which allowed them to unknowingly spread it to others. Even after penicillin was shown to be an effective treatment for syphilis, men in the study were prevented from accessing this medication. The aftermath of the study's revelation to the general public led to a large public inquiry and the subsequent establishment of rules for federally-funded research, such as requiring informed consent, institutional review boards, and limited use of deception. Nevertheless, the study continues to influence attitudes towards research among African-Americans, often making people skeptical and hesitant to participate.¹¹

Also in the mid-20th Century, the Henrietta Lacks case illustrates many of the issues with research that make African Americans wary of participating in research. In 1951, Lacks was a young woman receiving treatment for cervical cancer at Johns Hopkins Hospital. A sample of her cells was taken without her knowledge or consent and given to the laboratory. There, researcher George Gey was able to get her cells to replicate in the lab, creating the first immortal cell line. The cells, labelled "HeLa" and thereby compromising her privacy, would eventually be used by thousands of researchers across the world. Despite the considerable amount of scientific knowledge that has been created, and the profit that researchers have enjoyed, the family has never been compensated.¹²

After the US PHS syphilis study, rules for researchers were implemented as federal law, but discrimination and mistreatment – and distrust – have continued. Focus group participants have reported bad experiences with research in the past, tarnishing the image of research in their minds. More generally, people have described



research happening in their communities, but that they never saw the results of the research or any benefits from it. Others have experienced discrimination in trying to access general health care, giving them the impression the medical system does not have the same regard for their health and well-being as it does for others, especially Whites.¹³ These negative experiences create an environment where many African Americans are deeply reluctant to participate in research.

C. Underrepresentation in Research

African-Americans are underrepresented in several types of research. African American cancer patients are less likely to be enrolled in clinical trials than White cancer patients.¹⁴ Similarly, HIV disproportionately affects African Americans, but their participation rate in clinical trials related to the virus lags behind their burden of infection.¹⁵ Some reasons African Americans have given for not participating include the legacy of mistrust related to US PHS syphilis study and other historical abuses described above, concerns about the integrity of research practices, mistrust of the health care system, and concerns about the lack of choice in clinical trials. Some of these concerns can be addressed if safety assurances are put in place to protect participants, trust is developed between the researcher and participants, other racial groups are shown to be participating, and participants have choices they can exercise during the study.¹⁶ Systemic factors also play a central role. African Americans have reduced access to medical care, making them less likely to get a diagnosis that would qualify them for a study or to hear about studies. Many researchers choose not to recruit Black participants, sometimes from the belief that they are less likely to participate in studies, which becomes a self-fulfilling prophecy. The relative lack of researchers from the Black community also acts as a barrier to the building of trust between patients and researchers.¹⁷ Efforts to address the individual and systemic barriers will be needed to make research participation more equitable. Other sections in this Toolkit, such as V: Recruitment & Retention Best Practices, provide suggestions you can use to be successful in making your own research inclusive.

D. Health Disparities

African Americans face significant health disparities. Life expectancy at birth in 2015 was estimated to be 3.5 years lower for African Americans (including Black Hispanics) compared with Whites. It was especially pronounced for Black males, who were expected to live 4.4 fewer years than White males.¹⁸ African Americans who die from complications from AIDS lose 11 times the number of years of potential life as do Whites who die from complications from AIDS.¹⁹ In some important areas, rather than making progress in the last few decades, the gap has widened: the death rates for heart disease and cancer went from being lower in Blacks than Whites in the 1980s to 30% higher by 2000.²⁰ African Americans also have substantially higher prevalence of diabetes, along with increased rates of complications and diabetes-related mortality, compared with Whites.²¹ Many more disparities could be listed here, but the purpose is not to provide an exhaustive list of disparities; instead, the intent is to highlight the disproportionate disease and mortality burden that African-Americans bear.

E. Multiple Sources of Identity

An individual's racial identity should be viewed in the context of multiple identities. In addition to race, their ethnicity, sexual orientation, gender identity, citizenship status, class, and many other aspects influence how they view themselves and their risk and resilience factors for health outcomes. Recognizing these dynamics is a critical step to building relationships with research participants.



F. Engaging the Community in Research

The troubled history of research with African Americans and the resulting trust gap, described above, make it essential that researchers work closely with the community to build connections and alleviate fears. One of two approaches can be used. Community-based participatory research (CBPR) offers a promising avenue to do so. CBPR aims to equitably involve community members, organizational representatives, and researchers in all phases of research. Among many principles, a few are key, including building on community strengths and resources, facilitating collaborative partnerships, and integrating knowledge and action for the benefit of all partners.²² Using this method, community members can design projects to preemptively avoid problems and boost buy-in. Moreover, they can act as ambassadors for the project, helping recruitment and retention to be more successful. However, CBPR can be difficult and time-consuming. Community Engaged Research (CEnR) encourages engagement with the community where possible, without the requirement that community members be involved in every step of the process. CEnR may be a more approachable way for some researchers to start working with the community. See Section V, Recruitment and Retention Best Practices, for further information.

⁹ Gamble, V.N. (1997). Under the Shadow of Tuskegee: African Americans and Health Care. *American Journal of Public Health*, 87(11), 1773-1778. Retrieved from http://aiph.aphapublications.org/doi/pdfplus/10.2105/AJPH.87.11.1773.

¹ King, M.L., Jr. (1963 August 28). "I Have a Dream," Address Delivered at the March on Washington for Jobs and Freedom. *The Martin Luther King, Jr. Research and Education Institute, Stanford University.* Retrieved from <u>https://kinginstitute.stanford.edu/king-papers/documents/i-have-dream-address-delivered-march-washington-jobs-and-freedom.</u>

² Martin, B.L. (1991). From Negro to Black to African American: The Power of Names and Naming. *Political Science Quarterly*, 106(1), 83-107. Retrieved from <u>https://www.jstor.org/stable/2152175?seq=1#page_scan_tab_contents</u>.

³ Office of Management and Budget. (1997 October 30). Revisions to the Standards for the Classification of Federal Data on Race and Ethnicity. *Federal Register*. Retrieved from

https://web.archive.org/web/20090315191301/https://www.whitehouse.gov/omb/fedreg/1997standards.html.

⁴ Newport, F. (2007 September 28). Black or African American? *Gallup News Service*. Retrieved from <u>http://news.gallup.com/poll/28816/black-african-american.aspx</u>.

⁵ US Census Bureau. (2008 August). 2010 Census Integrated Communications Campaign Plan: The Success of the Census is in Our Hands. Retrieved from <u>https://www.census.gov/2010census/partners/pdf/2010_ICC_Plan_Final_Edited.pdf</u>.

⁶ Dickerson, D.J. (2007 January 22). Colorblind: Barack Obama Would Be the Great Black Hope in the Next Presidential Race – If He Were Actually Black. *Salon*. Retrieved from

https://web.archive.org/web/20100924194645/http://www.salon.com/news/opinion/feature/2007/01/22/obama/.

⁷ Humes, K.R., Jones, N.A., & Ramirez, R.R. (2011 March). Overview of Race and Hispanic Origin: 2010. 2010 Census Briefs. Retrieved from <u>https://www.census.gov/prod/cen2010/briefs/c2010br-02.pdf</u>.

⁸ National Center for Health Statistics. (2017). Health, United States, 2016: With Chartbook on Long-Term Trends in Health. *Centers for Disease Control and Prevention*. Retrieved from <u>https://www.cdc.gov/nchs/data/hus/hus16.pdf#015</u>.

¹⁰ Gamble, V.N. (1997). Under the Shadow of Tuskegee: African Americans and Health Care. American Journal of Public Health, 87(11), 1773-1778. Retrieved from <u>http://ajph.aphapublications.org/doi/pdfplus/10.2105/AJPH.87.11.1773</u>.

¹¹ Freimuth, V.S., Quinn, S.C., Thomas, S.B., Cole, G., Zook, E., & Duncan, T. (2001). African Americans' Views on Research and the Tuskegee Syphilis Study. *Social Science & Medicine*, 52, 797-808.

¹² Lasso, R.A. (2011). Book Review: The Immortal Life of Henrietta Lacks by Rebecca Skloot. *Journal of the American Medical Association*, 305(11), 1143-1144. Retrieved from https://jamanetwork.com/data/journals/JAMA/18301/jbk0316_1143_1144.pdf.

¹³ Scharff, D.P., Mathews, K.J., Jackson, P., Hoffsuemmer, J., Martin, E., & Edwards, D. (2010). More than Tuskegee: Understanding Mistrust about Research Participation. *Journal of Health Care for the Poor and Underserved*, *21*(3), 879–897. http://doi.org/10.1353/hpu.0.0323.

¹⁴ Murthy, V.H., Krumholz, H.M., & Gross, C.P. (2004 June 9). Participation in Cancer Clinical Trials: Race-, Sex-, and Age-Based Disparities. *Journal of the American Medical Association*, 291(22), 2720-2726. DOI: 10.1001/jama.291.22.2720.



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¹⁶ George, S., Duran, N., & Norris, K. (2014). A Systematic Review of Barriers and Facilitators to Minority Research Participation Among African Americans, Latinos, Asian Americans, and Pacific Islanders. *American Journal of Public Health*, *104*(2), e16–e31. http://doi.org/10.2105/AJPH.2013.301706.

¹⁷ Shavers-Hornaday, V.L., Lynch, C.F., Burmeister, L.F., & Torner, J.C. (1997). Why are African Americans Under-Represented in Medical Research Studies? Impediments to Participation. *Ethnicity & Health*, 2(1/2), 31-45.

¹⁸ National Center for Health Statistics. (2017). Health, United States, 2016: With Chartbook on Long-Term Trends in Health. *Centers for Disease Control and Prevention*. Retrieved from <u>https://www.cdc.gov/nchs/data/hus/hus16.pdf#015</u>.

¹⁹ Morbidity and Mortality Weekly Report. (2005 January 14). *Centers for Disease Control and Prevention*, 54(1). Retrieved from http://web.b.ebscohost.com/ehost/pdfviewer/pdfviewer?vid=1&sid=21ba69d9-3546-4a5f-9bbe-cbf4cd8e8777%40sessionmgr103.

²⁰ Airhihenbuwa, C.O., & Liburd, L. (2006 August). Eliminating Health Disparities in the African American Population: The Interface of Culture, Gender, and Power. *Health Education and Behavior*, 33(4), 488-501. DOI: 10.1177/1090198106287731.

²¹ Peek, M.E., Cargill, A., & Huang, E.S. (2007 October). Diabetes Health Disparities: A Systematic Review of Health Care Interventions. *Medical Care Research and Review*, 64(5, Supplement) 101S-156S. Retrieved from <u>http://journals.sagepub.com/doi/pdf/10.1177/1077558707305409</u>.

²² Israel, B.A., Schulz, A.J., Parker, E.A., & Becker, A.B. (1998). Review of Community-Based Research: Assessing Partnership Approaches to Improve Public Health. *Annual review of Public Health*, 19, 173-202. Retrieved from https://www.annualreviews.org/doi/pdf/10.1146/annurev.publhealth.19.1.173.

¹⁵ Gwadz, M.V., Colon, P., Ritchie, A.S., Leonard, N.R., Cleland, C.M., Riedel, M., Bowens, D., Banfield, A.D., Chang, P., Quiles, R., & Mildvan, D. (2010). Increasing and Supporting the Participation of Persons of Color Living With HIV/AIDS in AIDS Clinical Trials. *Current HIV/AIDS Reports*, 7(4), 194–200. <u>http://doi.org/10.1007/s11904-010-0055-3</u>.



II. Health and Research Practice

A. Best Practices and Interventions

2014 Evidence-Based Guideline for the Management of High Blood Pressure in Adults: Report from the Panel Members Appointed to the Eighth Joint National Committee (JNC 8) https://jamanetwork.com/journals/jama/fullarticle/1791497

The Black-White disparity in pregnancy-related mortality from 5 conditions: Differences in prevalence and case-fatality rates https://www.ncbi.nlm.nih.gov/pubmed?term=17194867

Combating Persistent Cultural Incompetence in Mental Health Care Systems Serving African Americans <u>http://web.b.ebscohost.com.proxy.cc.uic.edu/ehost/pdfviewer/pdfviewer?vid=1&sid=be9f7c76-7075-4301-badd-7da558e7c9d2%40sessionmgr104</u>

Diabetes Management: Interventions Engaging Community Health Workers <u>https://www.thecommunityguide.org/findings/diabetes-management-interventions-engaging-community-health-workers</u>

High-Impact HIV Prevention: The CDC's Approach to Reducing HIV Infections in the United States <u>https://www.cdc.gov/hiv/pdf/policies_NHPC_Booklet.pdf</u>

Improving Asthma Care for African American Children by Increasing National Asthma Guideline Adherence https://www.sciencedirect.com/science/article/pii/S0891524510000970

Management of High Blood Pressure in Blacks: An Update of the International Society on Hypertension in Blacks Consensus Statement <u>http://hyper.ahajournals.org/content/56/5/780.long</u>

New Recommendations for Treating Hypertension in Black Patients: Evidence and/or Consensus? <u>http://hyper.ahajournals.org/content/56/5/801.long</u>

Race, biochemical disease recurrence, and prostate-specific antigen doubling time after radical prostatectomy: Results from the SEARCH database <u>https://onlinelibrary.wiley.com/doi/full/10.1002/cncr.23012</u>

Racial and ethnic differences in advanced-stage prostate cancer: The Prostate Cancer Outcomes Study <u>https://academic.oup.com/jnci/article/93/5/388/2906486</u>

B. Searchable Database:

Healthy People 2020 Best Practice Research Search <u>https://www.healthypeople.gov/2020/tools-resources/Evidence-Based-Resources</u>



III. National and Local Data

A. General Data

Pew Research Center

5 Facts about the Religious Lives of African Americans http://www.pewresearch.org/fact-tank/2018/02/07/5-facts-about-the-religious-lives-of-africanamericans/

For African Americans, Discrimination is Not Dead http://www.pewresearch.org/fact-tank/2013/06/28/for-african-americans-discrimination-is-not-dead/

On Views of Race and Inequality, Blacks and Whites Are Worlds Apart http://www.pewsocialtrends.org/2016/06/27/on-views-of-race-and-inequality-blacks-and-whites-are-worlds-apart/

US Census Bureau

The Black Population: 2010 https://www.census.gov/content/dam/Census/library/publications/2011/dec/c2010br-06.pdf

Changes in Areas with Concentrated Poverty: 2000 to 2010 https://www.census.gov/content/dam/Census/library/publications/2014/acs/acs-27.pdf

The Changing Economics and Demographics of Young Adulthood: 1975–2016 https://www.census.gov/content/dam/Census/library/publications/2017/demo/p20-579.pdf

Coresident Grandparents and Their Grandchildren: 2012 https://www.census.gov/content/dam/Census/library/publications/2014/demo/p20-576.pdf

Disparities in STEM Employment by Sex, Race, and Hispanic Origin https://www.census.gov/content/dam/Census/library/publications/2013/acs/acs-24.pdf

Educational Attainment in the United States: 2015 https://www.census.gov/content/dam/Census/library/publications/2016/demo/p20-578.pdf

Household Income: 2016 https://www.census.gov/content/dam/Census/library/publications/2017/acs/acsbr16-02.pdf

Poverty Rates for Selected Race & Hispanic Groups by State and Place: 2007-11 https://www.census.gov/content/dam/Census/library/publications/2013/acs/acsbr11-17.pdf



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School Enrollment in the United States: 2011 https://www.census.gov/content/dam/Census/library/publications/2013/demo/p20-571.pdf

Selected Population Profile in the US: Black or African American alone <u>https://factfinder.census.gov/faces/tableservices/jsf/pages/productview.xhtml?src=bkmk</u>

CDC Wonder https://wonder.cdc.gov/

B. State and Local Data

Chicago Metropolitan Agency for Planning

The Cost of Segregation http://www.cmap.illinois.gov/documents/10180/648105/MPC+The+Cost+of+Segregation.pdf/d64fc943 -b0a2-4a58-b3ea-c6be4a82129e

Economically Disconnected Area Clusters in the CMAP region <u>http://www.cmap.illinois.gov/updates/all/-/asset_publisher/UIMfSLnFfMB6/content/economically-</u> <u>disconnnected-area-clusters-in-the-cmap-region</u>

Race and Ethnicity in the CMAP Region <u>http://www.cmap.illinois.gov/about/updates/-/asset_publisher/UIMfSLnFfMB6/content/race-and-ethnicity-in-the-cmap-region</u>

Travel Patterns in Economically Disconnected Area Clusters <u>http://www.cmap.illinois.gov/updates/all/-/asset_publisher/UIMfSLnFfMB6/content/travel-patterns-in-</u> <u>economically-disconnected-area-clusters</u>

US Census Bureau

Chicago Quick Facts https://www.census.gov/quickfacts/fact/table/chicagocityillinois/HSD410215

Illinois Community Facts <u>https://factfinder.census.gov/faces/nav/jsf/pages/community_facts.xhtml?src=bkmk</u>

Illinois Quick Facts https://www.census.gov/quickfacts/IL



IV. Ethical and Regulatory Issues

A. Applying the Belmont Report: Principle of Justice

The Belmont Report that emerged in the wake of the outcry over the US Public Health Service syphilis study established many of the principles that guide the ethical practice of research today. While the entire report is useful to keep in mind when conducting research with African American participants, the principle of justice merits specific attention. The report calls out several instances in which participants were selected because it was relatively easier to get their participation than others, such as poor ward patients, concentration camp inmates, and the disadvantaged Black men in the US PHS syphilis study. However, the benefits of these studies (when benefits even emerged) often went to other groups who did not participate in the research. The report therefore calls for careful consideration of the selection of participants in relation to the burdens and benefits of research. There are different formulas for thinking about burdens and benefits, but generally speaking, no group (African Americans included) should bear undue burdens of research, nor should they be excluded from likely benefits of research.²³ Typically, this will mean that participation in research should either reflect that group's proportion of the general population, or that group's proportion of the people who have the disease or condition being studied. Thus, addressing the underrepresentation of Black participants in research is an ethical and moral imperative as well as a process issue to be solved.

B. Transparency, Privacy, & Confidentiality

As mentioned in Section I, many African Americans have significant reservations about participating in research. Some of the suspicion relates to inadequate or incorrect information. Focus group participants expressed that medical professionals have not explained procedures or consent well, reinforcing the mistrust they have of the medical community.²⁴ Additionally, the story of Henrietta Lacks (described in Section I of the Toolkit) has made people wary of how their information and tissue samples will be used. As such, a thorough explanation of the study aims and procedures is essential, as is showing how you will keep their participation in the study private and protect their data and tissue samples. This in-depth conversation can help build trust. Investigators who receive funding from the National Institutes of Health can also apply for a Certificate of Confidentiality, which provides an additional layer of protection for participants' data by ensuring that researchers cannot be forced to disclose information about study participants.²⁵ After giving these assurances, it is critical to follow through and if any problems arise, to respond quickly and be honest with participants about the problems.

²³ National Commission for the Protection of Human Subjects of Biomedical and Behavioral Research. (1978). *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research*. Bethesda, MD: The Commission. Retrieved from <u>https://www.hhs.gov/ohrp/sites/default/files/the-belmont-report-508c_FINAL.pdf</u>.

²⁴ Scharff, D.P., Mathews, K.J., Jackson, P., Hoffsuemmer, J., Martin, E., & Edwards, D. (2010). More than Tuskegee: Understanding Mistrust about Research Participation. *Journal of Health Care for the Poor and Underserved*, *21*(3), 879–897. http://doi.org/10.1353/hpu.0.0323.

²⁵ See <u>https://humansubjects.nih.gov/coc/background</u> for more information.



V. Recruitment and Retention Best Practices

A. Community-Based Participatory Research in African American Communities: Principles

As mentioned in Section I, Community-Based Participatory Research (CBPR) is one method for addressing the hesitance some Black people have for participating in research. A major tenet of CBPR is that community members (either on their own or through organizations) have a real voice in setting the research agenda, planning, implementing the plan, and realizing outcomes. Relatedly, the community should see real benefits from the process in addition to the generation of knowledge that benefits the researcher.²⁶

Members of the National Black Leadership Initiative on Cancer developed a set of guiding principles for CBPR tailored to the African American community. These are summarized in the following chart:²⁷

Principle	Explanation
1. We are Family	Evoking community solidarity, this describes the commitment to
	working together to achieve shared goals.
2. It Takes a Village	The village facilitates co-learning, shared decision-making, and
	mutual ownership, which both come from and continually develop
	trust and respect.
3. Come as You Are	Everyone has something to offer right away, even if further learning
	and listening need to happen.
4. Just Stand	Current research stands on the shoulders of past research and creates
	the environment for future interventions and research in a cyclical
	process.
5. Health, Wholeness, & Healing	The purpose of the research should not just be to observe and
	describe, but to create actions or interventions that will help the
	community.
6. Go Tell It on the Mountain	Dissemination should happen in the community through relevant
	channels, such as magazines, radio programs, faith groups, and word
	of mouth, in addition to academic journals and conferences.
7. We Shall Overcome, Someday	The guiding light should be eliminating disparities in health and other
	aspects of life.

B. Engaging African American Communities in Research: Moving from Principles to Action

A challenge to enacting these principles is that, in order to obtain funding to do research, much of the agenda setting and planning must be done prior to submitting most proposals. One approach is to do the initial relationship building and planning before applying for a grant. Investigators can also begin discussions with community-based organizations and volunteer to fill a need or help with existing projects before embarking on a research project. Similarly, if researchers have funding for a small, short-term study, this can be a way to build the groundwork for a more substantial partnership.²⁸ These approaches may require more hours for both the researchers and the community partners. Ultimately, though, they can result in a stronger partnership because of the shared commitment that everyone builds together.



After the initial period of relationship building, a few key considerations can help the partnership transition into a robust engagement. Creating a community advisory board (CAB) is essential to the process. Potential members should be committed to the project and be willing to work with their contacts in the community to build further support for the project. Members should come from multiple organizations or places in the community to ensure continuity if one organization reduces its support of the project. Convening a CAB helps bring accountability to the project, but it also is a signal to others in the community that buy-in from their community is already present. Beyond convening the CAB, it is important for the researcher to attend events outside the project, and to be physically present for meetings, to demonstrate their commitment.²⁹ At least some project-related meetings should be held in the community, not on a university campus. Having meetings on community partners' home turf allows more people to participate because they have a shorter distance to travel. Additionally, a setting like a school or community center can allow participants to show products from past projects of which they are proud or illustrate things that need improvement. Community involvement should continue after data collection. Community partners should be involved in member-checking qualitative data and helping shape the interpretation of quantitative data. Finally, the results should not only go into journal articles, but also into community action plans and materials that resonate with the people who contributed the data in the first place.

C. Community-Engaged Research: A Less Intensive Alternative to CBPR

Using the community-based participatory research (CBPR) approach involves significant investments of time and other resources. Moreover, it may be difficult if one's institutional setting is not designed to support CBPR. Community-engaged research (CEnR) is a less-intensive alternative that may be attractive to researchers who want to involve the community but may not be able to use the full CBPR model. Many of the principles remain the same. The first step is to learn about the community. This may seem obvious, but it involves building relationships, getting to know the history, culture, and power structures, and understanding the norms and values. The second step is for researchers to share power and show respect. Researchers should listen carefully and be open to difficult conversations about power dynamics. Additionally, small steps like providing food for meetings and offering child care can go a long way to helping community members participate. The third step is to include partners in all phases of research. While CEnR does not require completely equal decision-making power between researchers and community members, the views and goals of the latter should be incorporated into the study plan and execution where possible. The final step is for community partners to be compensated fairly. Researchers conduct studies for a living and get paid for their work; community partners should be afforded the same opportunity.³⁰ Engaging the community using these principles can help your project be more responsive to the community's needs as well as more successful in achieving your goals.

²⁶ Israel, B.A., Schulz, A.J., Parker, E.A., & Becker, A.B. (1998). Review of Community-Based Research: Assessing Partnership Approaches to Improve Public Health. *Annual Review of Public Health*, 19, 173-202. Retrieved from https://www.annualreviews.org/doi/pdf/10.1146/annurev.publhealth.19.1.173.

 ²⁷ Smith, S.A., Whitehead, M.S., Sheats, J.Q., Ansa, B.E., Coughlin, S.S., & Blumenthal, D.S. (2015). Community-based participatory research principles for the African American community. *Journal of the Georgia Public Health Association*, *5*(1), 52–56.
²⁸ D'Alonzo, K.T. (2010 July 11). Getting Started in CBPR: Lessons in Building Community Partnerships for New Researchers. *Nursing Inquiry*, Vol. 17, No. 4, pp. 282-288.



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²⁹ D'Alonzo, K.T. (2010 July 11). Getting Started in CBPR: Lessons in Building Community Partnerships for New Researchers. *Nursing Inquiry*, Vol. 17, No. 4, pp. 282-288.

³⁰ Michener, L., Cook, J., Ahmed, S.M., Yonas, M.A., Coyne-Beasley, T., & Aguilar-Gaxiola, S. (2012). Aligning the Goals of Community-Engaged Research: Why and How Academic Health Centers Can Successfully Engage with Communities to Improve Health. *Academic Medicine*, *87*(3), 285–291. <u>http://doi.org/10.1097/ACM.0b013e3182441680</u>.



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VI. Recruitment Templates

General Outreach Templates and Best Practices http://www.ccts.uic.edu/content/recruitment-templates

FDA Research Volunteer Brochure http://go.uic.edu/FDA_Research_Volunteer_Brochure

Flyer Templates

African American Girl: <u>http://ccts.uic.edu/sites/default/files/res_flyer_AFR_F_child_1.doc</u> African American Girls: <u>http://ccts.uic.edu/sites/default/files/res_flyer_AFR_F_child_2.doc</u> African American Boy: <u>http://ccts.uic.edu/sites/default/files/res_flyer_AFR_M_child_1.doc</u> African American Young Women: <u>http://ccts.uic.edu/sites/default/files/res_flyer_AFR_F_18-30%20(2).doc</u> African American Young Man: <u>http://ccts.uic.edu/sites/default/files/res_flyer_AFR_M_18-30.doc</u> African American Family: <u>http://ccts.uic.edu/sites/default/files/res_flyer_AFR_M_18-30.doc</u> 50+ African American Woman: <u>http://ccts.uic.edu/sites/default/files/res_flyer_AFR_F_50.doc</u>

Simplified Recruitment Language http://go.uic.edu/Simplified_Recruitment_Language

Supporting Enrollment & Engagement in Clinical Research http://www.ccts.uic.edu/sites/default/files/uploads/Example%202.pdf



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VII. Community Engagement Resources

A. Local Organizations

Affinity Community Services http://affinity95.org/acscontent/

African American Arts Alliance of Chicago http://www.aaaachicago.org/

Austin Coming Together http://austincomingtogether.org/

Chicago Urban League https://www.thechicagourbanleague.org/

Chicagoland Black Chamber of Commerce http://www.chicagolandbcc.org/

DuSable Museum of African American History http://www.dusablemuseum.org/

Far South Community Development Corporation http://farsouthcdc.org/

Garfield Park Community Council http://www.gpcommunitycouncil.org/index.html

Greater Auburn-Gresham Development Corporation <u>http://www.gagdc.org/index.html</u>

The History Makers <u>http://www.thehistorymakers.org/</u>

Illinois African American Coalition for Prevention http://ilaacp.org/

Impact Family Center http://www.impactfamilycenter.org/

Inspiration Corporation http://www.inspirationcorp.org/

Kenwood Oakland Community Organization http://kocoonline.org/



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Lawndale Christian Health Center https://www.lawndale.org/

Pan-African Association http://www.panafricanassociation.org/

South Side Community Art Center http://www.sscartcenter.org/

Southwest Organizing Project <u>http://swopchicago.org/</u>

Teamwork Englewood http://www.teamworkenglewood.org/index.html

United African Organization <u>http://uniteafricans.org/</u>

B. National Organizations

100 Black Men of America http://100blackmen.org/

NAACP http://www.naacp.org/

National Urban League http://nul.iamempowered.com/

Rainbow Push Coalition https://rainbowpush.org/



VIII. Researchers at UIC and C3 Working on the Issue

Please note: This list is intended to be illustrative rather than exhaustive. Resources to find additional researchers include <u>https://projectreporter.nih.gov/reporter.cfm</u>, <u>https://clinicaltrials.gov/</u>, <u>https://www.researchgate.net/</u>, and <u>https://scholar.google.com/</u>.

A. UIC

Courtney Bonam, PhD https://psch.uic.edu/psychology/diversity/faculty_profiles

Roderick A. Ferguson, PhD https://aast.uic.edu/aast/people/faculty/roderick-ferguson

Tyrone Forman, PhD https://aast.uic.edu/aast/people/faculty/tyrone-forman

Ben Gerber, MD https://www.ihrp.uic.edu/researcher/ben-gerber-md-mph

Phoenix Matthews, PhD https://www.nursing.uic.edu/faculty-staff/phoenix-alicia-matthews-phd

Henrika McCoy, PhD https://socialwork.uic.edu/facultyandstaff/henrika-mccoy/

Branden McLeod, PhD https://socialwork.uic.edu/facultyandstaff/branden-mcleod/

Angela Odoms-Young, PhD https://www.ihrp.uic.edu/researcher/angela-odoms-young-phd

David Omotoso Stovall, PhD https://aast.uic.edu/aast/people/faculty/david-stovall

Beth Richie, PhD https://aast.uic.edu/aast/people/faculty/beth-richie

Lisa Sharp, PhD https://www.ihrp.uic.edu/researcher/lisa-k-sharp-phd

Karriem Watson, DHSc http://vcha.uic.edu/profiles/karriem-s-watson/



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Shannon Zenk, PhD <u>https://www.nursing.uic.edu/faculty-staff/shannon-n-zenk-phd-mph-rn-faan</u>

B. Northwestern

Edith Chen, PhD http://www.psychology.northwestern.edu/people/faculty/core/profiles/edith-chen.html

Kiarri Kershaw, PhD http://www.feinberg.northwestern.edu/faculty-profiles/az/profile.html?xid=26249

June McKoy, MD, JD http://www.feinberg.northwestern.edu/faculty-profiles/az/profile.html?xid=15826

Adam Murphy, MD http://www.feinberg.northwestern.edu/faculty-profiles/az/profile.html?xid=19570

Mary Pattillo, PhD http://www.afam.northwestern.edu/people/faculty/mary-pattillo.html

Lincoln Quillian http://www.sociology.northwestern.edu/people/faculty/core/lincoln-quillian.html

Celeste Watkins-Hayes http://www.afam.northwestern.edu/people/faculty/celeste-watkins-hayes.html

C. University of Chicago

Alida Bouris, PhD https://ssascholars.uchicago.edu/a-bouris/biocv

Waldo Johnson, Jr., PhD https://ssascholars.uchicago.edu/w-johnson/biocv

Stuart Michaels, PhD http://www.norc.org/Experts/Pages/stuart-michaels.aspx

Doriane Miller, MD http://www.uchospitals.edu/physicians/doriane-miller.html

Monica Peek, MD http://www.uchospitals.edu/physicians/monica-peek.html

Dexter Voisin, PhD https://ssascholars.uchicago.edu/d-voisin/content/publications_voisin



IX. Measuring Instruments

A. A Note on Measuring Race and Ethnicity

The US Census Bureau recently announced that the 2020 Census will use a two-question format to ask about ethnicity and race, similar to what was used in the 2010 Census.³¹ However, the ethnic and racial categories in the Census may not provide sufficient levels of detail for some studies, and they may not match people's perceptions of ethnicity and race. The Food and Drug Administration suggests that, when appropriate, more detailed categories can be used. Researchers should take care to ensure the categories can be mapped back onto the standard choices for ethnicity and race.³² An example would be offering the following racial categories in addition to the standards of American Indian or Alaska Native, Asian, Native Hawai'ian or Other Pacific Islander, and White: African American (Black American born in the United States), Black African (Black immigrant from Africa), and Afro-Caribbean (including Haitians).³³ These additional categories would allow for more nuanced analysis but also still align with the general Census category of Black or African American.

B. Other Measuring Instruments

Acquired Capability for Suicide Scale – Fearlessness about Death (ACSS-FAD) <u>https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4093903/#SD1</u>

African American Acculturation Scale – Revision (AAAS-R) http://journals.sagepub.com/doi/pdf/10.1177/0095798400026002007

Child Feeding Questionnaire https://doi.org/10.1016/j.ypmed.2005.01.003

Cross Racial Identity Scale (CRIS) https://www.tandfonline.com/doi/pdf/10.1080/00223891.2011.608762

Depression Anxiety and Stress Scales (DASS-21) <u>http://proxy.cc.uic.edu/login?url=http://search.ebscohost.com/login.aspx?direct=true&db=a9h&AN=26099635</u>

Everyday Discrimination Scale https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4152383/

Functional Assessment of Chronic Illness Therapy—Spiritual Well-Being Scale (FACIT-Sp) https://link.springer.com/content/pdf/10.1207/S15324796ABM2401_06.pdf

Group-Based Medical Mistrust Scale https://doi.org/10.1016/j.ypmed.2003.09.041

Internalized AIDS-Related Stigma Scale https://doi.org/10.1080/09540120802032627



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Liebowitz Social Anxiety Scale https://doi.org/10.1016/j.janxdis.2011.03.009, https://bytchology-tools.com/liebowitz-social-anxiety-scale/

Multidimensional Inventory of Black Identity-teen (MIBI-t) https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2791395/

Multidimensional Model of Racial Identity (MMRI) https://deepblue.lib.umich.edu/bitstream/handle/2027.42/108163/MIBI.pdf?sequence=1&isAllowed=y

Multidimensional Scale of Perceived Social Support https://link.springer.com/content/pdf/10.1023/A:1005109522457.pdf

Neighborhood Scales https://doi.org/10.1093/aje/kwm040

Pain Intensity Measurement Tools for Children: African-American Oucher Scale, Wong-Baker FACES Scale, and the Visual Analog Scale http://proxy.cc.uic.edu/login?url=http://search.ebscohost.com/login.aspx?direct=true&db=rzh&AN=106869983

Penn Interactive Peer Play Scale <u>http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.895.686&rep=rep1&type=pdf</u>

Perceived Diabetes Self-Management Scale (PDSMS) https://link.springer.com/article/10.1007/s10865-007-9110-y

Racial Respect Scale https://www.journals.uchicago.edu/doi/full/10.1086/681625

Rosenberg Self Esteem Scale http://journals.sagepub.com/doi/pdf/10.1177/0163278709356187

Strengths and Difficulties Questionnaire https://doi.org/10.1097/01.chi.0000159157.57075.c8 http://www.sdqinfo.com/py/sdqinfo/b3.py?language=Englishqz(USA)

Vanderbilt ADHD Diagnostic Parent Rating Scale

https://doi.org/10.1093/jpepsy/jsg046

https://www.fda.gov/downloads/regulatoryinformation/guidances/ucm126396.pdf.

³¹ Census Bureau Statement on 2020 Census Race and Ethnicity Questions. (2018 January 26). US Census Bureau. Retrieved from https://www.census.gov/newsroom/press-releases/2018/2020-race-questions.html.

³² Collection of Race and Ethnicity Data in Clinical Trials: Guidance for Industry and Food and Drug Administration Staff. (2016 October 26). *FDA Office of Minority Health*. Retrieved from

³³ US Census Bureau. (2008 August). 2010 Census Integrated Communications Campaign Plan: The Success of the Census is in Our Hands. Retrieved from <u>https://www.census.gov/2010census/partners/pdf/2010_ICC_Plan_Final_Edited.pdf</u>.



X. Program Announcements for Grants

A. MSI Designation

UIC has been designated as a Minority Serving Institution (MSI) based on its full-time undergraduate enrollment. UIC researchers focusing on Black populations may qualify for special grants and opportunities. See <u>https://research.uic.edu/minority-serving-institution-status</u> for more information.

B. Grant Programs

The grants programs below expire no earlier than 2019.

Aetna Foundation https://www.aetna-foundation.org/grants-partnerships/grants.html

The Commonwealth Fund http://www.commonwealthfund.org/grants-and-fellowships/new-faq-page

National Institutes of Health: R21s are listed; links to related R01s can be found on each page. Additional NIH funding opportunities can be found at https://www.nimhd.nih.gov/funding/nimhd-funding/active_foa.html.

Dissemination and Implementation Research in Health (R21 Clinical Trial Optional) https://grants.nih.gov/grants/guide/pa-files/PAR-18-017.html

Health Promotion Among Racial and Ethnic Minority Males <u>https://grants.nih.gov/grants/guide/pa-files/PA-18-162.html</u>

Health Services Research on Minority Health and Health Disparities https://grants.nih.gov/grants/guide/pa-files/PAR-18-287.html

Reducing Health Disparities Among Minority and Underserved Children <u>https://grants.nih.gov/grants/guide/pa-files/PA-18-169.html</u>

Patient-Centered Outcomes Research Institute https://www.pcori.org/funding-opportunities

William T Grant Foundation: Reducing Inequality Grants http://wtgrantfoundation.org/focus-areas/reducing-inequality



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XI. Community Stakeholder Involvement

A. African American Specific Resources

UIC African American Academic Network http://studentaffairs.uic.edu/aaan/

UIC African American Cultural Center <u>http://aacc.uic.edu/</u>

UIC Department of African American Studies <u>https://aast.uic.edu/</u>

B. General Resources for Individuals

National Institutes of Health – Clinical Research Trials & You https://www.nih.gov/health-information/nih-clinical-research-trials-you/basics

Research Fundamentals for Activists http://www.treatmentactiongroup.org/sites/default/files/201305/RFA%20FINAL.pdf

Research Match (search for clinical trials to join) <u>https://www.researchmatch.org/</u>

C. General Resources for Organizations

Alliance for Research in Chicagoland Communities, Northwestern University

Assessing your Organization's Research Environment and Capacity <u>http://arccresources.net/wp-content/uploads/2013/08/Assessing-your-Organizations-Research-Environment-Capacity.pdf</u>

Community-Based Participatory Research 101 http://arccresources.net/wp-content/uploads/2014/01/CBPR-101-2014expanded.pdf

Community-Engaged Research Funding & Grantwriting Tips and Strategies <u>http://arccresources.net/wp-content/uploads/2015/01/CEnRFunding.GrantwritingTips.Strategies.pdf</u>

Community Partner Resources http://arccresources.net/category/community-partners/

Considering and Developing Your Organization's Research Purpose <u>http://arccresources.net/wp-content/uploads/2013/08/Considering.Developing-Your-Organization%E2%80%99s-Research-Purpose.pdf</u>



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Introduction to Qualitative Research Methods <u>http://arccresources.net/wp-content/uploads/2015/09/Intro-to-Qualitative-Research-Methods.pdf</u>

Introduction to Research Design http://arccresources.net/wp-content/uploads/2013/08/Intro-to-Research-Design.pdf

NIH Biosketch for Community Partner http://arccresources.net/wp-content/uploads/2015/06/NIH-Biosketch-for-Community-Partner.pdf

Patient and Stakeholder Engagement (PCORI) <u>http://arccresources.net/wp-content/uploads/2013/12/Patient-and-Stakeholder-Engagement-in-Patient-Centered-Outcomes-Research-PCORI.pdf</u>

University 101 http://arccresources.net/wp-content/uploads/2014/12/University-101full.pdf

Center for Clinical and Translational Sciences <u>http://www.ccts.uic.edu/</u>

Recruitment, Retention, and Community Engagement Program <u>http://www.ccts.uic.edu/content/recruitment-retention</u>

Clinical Trials Database https://clinicaltrials.gov/

Community Based Participatory Research 101: From a Community Partner Perspective Harlem Community & Academic Partnership <u>https://ccph.memberclicks.net/assets/Documents/CNREI/cbpr%20101%20presentation.pdf</u>

A Quick Start Guide to Conducting Community-Engaged Research Southern California Clinical and Translational Science Institute, Office of Community Engagement <u>http://oprs.usc.edu/files/2013/01/Comm_Engaged_Research_Guide.pdf</u>

UIC Office of Community Engaged Research and Implementation Science <u>https://cancer.uillinois.edu/outreach-program</u>

UIC Office of Community Engagement and Neighborhood Health Partnerships <u>https://oceanhp.uic.edu/</u>



XII. Team Readiness to Work with Special Populations

A. Cultural competency training

Cultural Competence Assessment Instrument (CCAI) http://ccbmdr.ahslabs.uic.edu/wp-content/uploads/sites/5/2014/04/CCAI.pdf

National Research and Training Center (NRTC) Training and Education: Toolkit and Training on Assessing Cultural Competency in Peer-Run Mental Health Programs <u>http://www.cmhsrp.uic.edu/nrtc/starcenter.asp</u>

B. Team diversity representation

Making sure that the research team has some representation of the target special population group helps establish trust, understanding, and credibility. For example, when conducting research related to cervical cancer in Roseland, having African American women as team members can help to build trust and understanding between the research team and community members. This step, however, is not sufficient by itself: other efforts described elsewhere in this toolkit should also be used to garner community support and involvement.

C. Implicit-association test (IAT) – Offers a way to probe unconscious biases

Implicit Association Test (IAT) http://projectimplicit.net/nosek/iat/

Look Different's Implicit Association Tests http://www.lookdifferent.org/what-can-i-do/implicit-association-test

Project Implicit https://implicit.harvard.edu/implicit/

D. LH-STEP – Helps assess an individual's capabilities by measuring skills, abilities, and potential for success.

http://www.creativeorgdesign.com/tests_page.php?id=477

E. Resources to Evaluate Attitudes Necessary for Working with Black Communities

Implicit and explicit prejudice https://doi.org/10.1016/j.jesp.2009.11.001

Multidimensional Racial Attitudes (CSNP) Scale https://pdfs.semanticscholar.org/ae66/c25454c3b4d3801a7799e0b59cc35c6bbb23.pdf



Citing the CCTS's Target Population Toolkit

The LGBT Target Population Toolkit was developed by the UIC Center for Clinical and Translational Science's Recruitment, Retention and Community Engagement Program.

The National Institutes of Health requires that investigators cite the CTSA grant if they used any CCTS services or resources to support their research. The CCTS relies on these citations as a critical performance measure when reporting annual productivity to NIH.

To cite the CCTS, the following text is recommended:

"The University of Illinois at Chicago Center for Clinical and Translational Science is supported by the National Center for Advancing Translational Sciences, National Institutes of Health, through Grant UL1TR002003. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health."

<u>Recommended APA Toolkit Citation</u>: Target Population Toolkit. (2017). Center for Clinical and Translational Science, University of Illinois at Chicago. Retrieved from <u>http://www.ccts.uic.edu/content/target-populations-toolkit</u>.